

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.

112703-294

In Re Application Of: **Barton et al.**

Serial No.

10/691,806

Filing Date

October 21, 2003

Examiner

Unknown

Group Art Unit

Unknown

Title: **APPARATUS AND METHOD FOR PROVIDING POINT OF PURCHASE PRODUCTS**

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

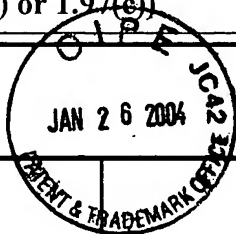
**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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(Under 37 CFR 1.97(b) or 1.97(e))

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10/691,806

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Unknown

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Unknown

**APPARATUS AND METHOD FOR PROVIDING POINT OF PURCHASE PRODUCTS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on Jan. 22, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Signature of Person Mailing Correspondence

Renee Street

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

  
Signature

Dated: **January 22, 2004**

**Robert M. Barrett**  
Reg. No. 30,142  
**BELL, BOYD & LLOYD LLC**  
P.O. Box 1135  
Chicago, IL 60690-1135  
(312) 807-4204

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Barton et al.  
Appl. No.: 10/691,806  
Filed: October 21, 2003  
Title: APPARATUS AND METHOD FOR PROVIDING POINT OF PURCHASE  
PRODUCTS  
Art Unit: Unknown  
Examiner: Unknown  
Docket No.: 112703-294

Assistant Commissioner for Patents  
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which can be found in the parent application S/N 09/960,915, filed on September 21, 2001, be made during the course of examination of the above-identified application for United States patent.

**U.S. PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
3,006,538	10/31/61	Deutsch
3,138,385	6/23/64	Giacobello
3,786,421	1/15/74	Wostl et al.
4,111,282	9/5/78	Vayda, Jr.
Re. 31,162	3/1/83	Bachmann et al.
4,614,384	9/30/86	Takano et al. -
4,723,212	2/2/88	Mindrum et al.
4,833,308	5/23/89	Humble
5,173,851	12/22/92	Off et al.
5,261,564	11/16/93	Yelvington
5,586,686	12/24/96	Bustos et al.
5,612,868	3/18/97	Off et al.
5,725,124	3/10/98	Bustos et al.
5,813,569	9/29/98	Cihanek

5,816,443	10/6/98	Bustos
5,823,386	10/20/98	Vandenberg
5,918,764	7/6/99	Bustos et al.
5,943,241	8/24/99	Nichols et al.
5,947,328	9/7/99	Kovens et al.
5,979,702	11/9/99	Hennessey et al.
5,992,570	11/30/99	Walter et al.
6,021,362	2/1/00	Maggard et al.
6,038,492	3/14/00	Nichols et al.
6,079,592	6/27/00	Hennessey et al.
6,116,505	9/12/00	Withrow
6,119,099	9/12/00	Walker et al.
6,161,059	12/12/00	Tedesco et al.
6,193,154	2/27/01	Phillips et al.

#### **FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>
WO 96/06415	2/29/96

#### **OTHER DOCUMENTS**

Optimal Robotics Corporation, "U-SCAN A Retail Revolution Is Upon Us," 2001.

Applicants look forward to early and favorable consideration of this matter.

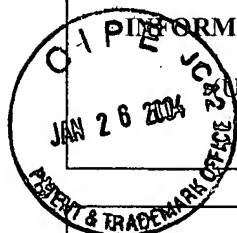
Respectfully submitted,

  
BELL, BOYD & LLOYD LLC

BY \_\_\_\_\_

Robert M. Barrett  
Reg. No.30,142  
P.O. Box 1135  
Chicago, Illinois 60690-1135  
Phone: (312) 807-4204

Dated: January 22, 2004

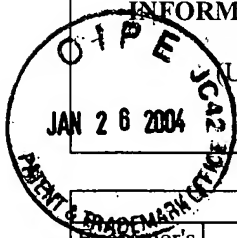


<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)  PTO Form 1449		Atty Docket No. 112703-294	Application No. 10/691,806
		Applicant Barton et al.	
		Filing Date October 21, 2003	Group Unknown

U.S. PATENT DOCUMENTS							
Examiner's Initials		Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
		3,006,538	10/31/61	Deutsch			
		3,138,385	6/23/64	Giacobello			
		3,786,421	1/15/74	Wostl et al.			
		4,111,282	9/5/78	Vayda, Jr.			
		Re. 31,162	3/1/83	Bachmann et al.			
		4,614,384	9/30/86	Takano et al.			
		4,723,212	2/2/88	Mindrum et al.			
		4,833,308	5/23/89	Humble			
		5,173,851	12/22/92	Off et al.			
		5,261,564	11/16/93	Yelvington			
		5,586,686	12/24/96	Bustos et al.			
		5,612,868	3/18/97	Off et al.			
		5,725,124	3/10/98	Bustos et al.			
		5,813,569	9/29/98	Cihanek			
		5,816,443	10/6/98	Bustos			
		5,823,386	10/20/98	Vandenberg			
		5,918,764	7/6/99	Bustos et al.			
		5,943,241	8/24/99	Nichols et al.			
		5,947,328	9/7/99	Kovens et al.			
		5,979,702	11/9/99	Hennessey et al.			
		5,992,570	11/30/99	Walter et al.			
		6,021,362	2/1/00	Maggard et al.			
		6,038,492	3/14/00	Nichols et al.			
		6,079,592	6/27/00	Hennessey et al.			
		6,116,505	9/12/00	Withrow			
		6,119,099	9/12/00	Walker et al.			
		6,161,059	12/12/00	Tedesco et al.			
		6,193,154	2/27/01	Phillips et al.			

FOREIGN PATENT DOCUMENTS							
Examiner's Initials		Document Number	Publication Date	Country	Class	Subclass	Translation
		WO 96/06415	2/29/96	PCT			Yes No

<b>Examiner:</b>	<b>Date Considered:</b>
*Examiner: Initial if citation considered, whether or not citation is in conformance with PEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)  PTO Form 1449	Atty Docket No. 112703-294	Application No. 10/691,806
	Applicant Barton et al.	
	Filing Date October 21, 2003	Group Unknown

FOREIGN PATENT DOCUMENTS								
Examiner's Initials		Document Number	Publication Date	Country	Class	Subclass	Translation	
							Yes	No

Examiner's Initials		OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>
		Optimal Robotics Corporation, "U-SCAN A Retail Revolution Is Upon Us," 2001.

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with PEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	